

- (1) *Short Biography*
- (2) *Short CV*
- (3) *Full Publications*

BIOGRAPHY

George Alexander Walker

BA, LLB (HONS), DIPLP (Glasgow), DAES (Bruges), LLM (London), PHD (London), DPHIL (Oxford)

George Walker is a Reader in International Financial Law at the Centre for Commercial Law Studies, Queen Mary, University of London. He is Deputy Head of the International Financial Law Unit and Head of the Banking and Finance division. He was formerly Acting Head of Unit between 2002 and 2004.

He is a Barrister and Member of the Honourable Society of Inner Temple in London. He is also a Member of the New York Bar and was previously a Solicitor in Scotland and England and Wales.

He is a former Affiliate Lecturer in Law at **Cambridge University** and held a visiting research position at **Harvard University** for two years. He is an external examiner at **Hong Kong University** and has been a Visiting Academic Scholar at the Law School, **University of Tokyo**, Japan. He has been the Senior Examiner for the **Securities and Investments Institute** (formerly the **London Stock Exchange**) in Financial Regulation and Compliance (Financial Services Law) since 2000.

He has been a Legal Consultant with **Farrer & Co**, Solicitors, London and was formerly a Solicitor in Edinburgh and with various major City of London law firms.

He is Legal Consultant with the **International Monetary Fund**, Washington, DC and has spent a number of summers working at their head office in Washington. He has acted on a number of significant international law reform projects including with the **Fund**, **World Bank**, **EBRD** as well as with the **Asia Development Bank** and with various national governments. He has worked on thirty separate country law projects to date including in Eastern Europe, South America, Africa, Asia and China (including on Chinese financial conglomerates and bank insolvency). He has also assisted a separate Working Group on Japanese Financial Conglomerates.

He is author of the leading reference texts *International Banking Regulation – Law, Policy and Practice* (**Kluwer**, 2001) and *European Banking and Financial Law – Policy and Programme Construction* (British Institute of International Comparative and Commercial Law (**BIICCL**), 2006).

He is co-author of the leading UK texts on *Banking and Financial Services Regulation* (**Butterworths**, 3rd 2002) and of *Blackstone's Guide to the Financial Services and Markets Act* (**Blackstones**, 2001). He is an Assistant Editor of the *Encyclopaedia of Banking Law* (**Butterworths**, 5 Volumes, Looseleaf) and of the monthly online *Banking Law Update*. He is also an Assistant Editor of *Butterworths Financial Regulation Services* (**Butterworths**, 7 Volumes, Looseleaf).

He is co-author and co-editor of the new leading UK texts with Michael Blair QC, former General Counsel Financial Services Authority London (FSA), on *Financial Services Law* (**Oxford University Press** (OUP), 2006) and *Financial Markets and Exchanges Law* (OUP, 2006).

He was formerly Executive Editor of the **Financial Times**, *Financial Regulation Report* and now **Informa**, *Financial Regulation International*. He is co-editor of various book series. He has published a large number of other refereed and other journal articles as well as made numerous chapter and conference contributions.

He is a Senior Fellow in Banking Law at the **London Institute of International Banking, Finance and Development Law** and a Professorial Fellow at the **Asian Institute of International Financial Law**, **University of Hong Kong** and the **Institute for International Banking and Finance Law**, Dallas, Texas.

Curriculum Vitae (Summary)

GEORGE ALEXANDER WALKER

**BA, LLB (Hons), DIPLP (Bruges),
LLM (Lond), PhD (Lond), DPhil (Oxford)**

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|------------------------------------|---|
| Current Appointments | <ol style="list-style-type: none">(1) Reader, 2003 to 2005 and Head, International Financial Law, Centre for Commercial Law Studies, University of London, 2002 to 2004.(2) Legal Consultant, International Monetary Fund, Washington, D.C. 1999 to date.(3) Legal Consultant, Farrer & Co, Solicitors, London, 1999 to date.(4) Research Associate, International Financial Institutions Program, Harvard Law School, Harvard University, Cambridge, 2002-04.(5) Affiliate Lecturer, University of Cambridge, 2000-2001.(6) External Examiner, University of Hong Kong, 2000 to date.(7) Visiting Associate Professor of Law, SMU School of Law, Dallas, 1998.(8) Professorial Fellow, Asian Institute for Banking and Finance Law, University of Hong Kong, 1998 to date. |
| Professional Qualifications | <ol style="list-style-type: none">(1) Called to the Bar of England and Wales 13 October 2005.(2) Admitted Member of Honourable Society of Inner Temple, 27 January 2005.(3) Member of the New York Bar. Admitted February 1990.(4) Solicitor of the Supreme Court of England and Wales. Admitted June 1989.(5) Solicitor of the Court of Session, Edinburgh. Admitted 1985. |
| Recent Activities | <ol style="list-style-type: none">(1) Legal Consultant, IMF, Technical Assistant, Nepal, 2005.(2) Legal Consultant, Asia Development Bank, Banking and Financial Law Reform, China, Summer and Winter 2003 and Spring 2004.(3) Legal Consultant, EBRD, Law Reform in Transition Economies, 2001. |
| Recent Publications | <ol style="list-style-type: none">(1) Author, International Banking Regulation – Law, Policy and Practice (Kluwer Law, 2001); and European Banking Law – Policy and Programme Construction (BIICCL, 2006). (416 pages.)(2) Financial Services Law (OUP, 2006); and Financial Markets and Exchanges Law (OUP, 2006) edited with Michael Blair QC former General Counsel FSA.(3) Co-author, Banking and Financial Services Regulation (Butterworths, 3rd Edition, 2002) and Guide to Financial Services and Markets Act (Backstones, 2001).(4) Assistant Editor, Encyclopaedia of Banking Law (Butterworths, 5 Vol, Loose-leaf); and Financial Services Regulation (7 Vol, Loose-leaf).(5) Executive Editor, Financial Regulator Report, Financial Times, (now Informa) London; Assistant Editor, Banking Law Direct, Butterworths, London. |

CURRICULUM VITAE

Name George Alexander Walker
BA, LLB (Hons) (Glasgow), DIPLP (Bruges),
LLM (Lond), PhD (Lond), DPhil (Oxford)

Current Position Head Banking and Financial Law Section and Deputy
Head, International Financial Law Unit, Centre for
Commercial Law Studies, Queen Mary, University of
London.

SUMMARY OF RECENT ACTIVITIES

Teaching, Examining and Course Development

- (1) Acting Head of International Financial Law Unit, Centre for Commercial Law Studies 2 ½ years from 2002-2004 with permanent staff one senior lecturer, two lecturers and one administrative assistant. Overall responsibility for 26 PHD candidates under supervision including 8 to 12 Research Assistants.
- (2) Generated substantial annual income (in excess £500,000) through LLM teaching and PHD supervision and significant contribution RAE* as Acting Head. Also acted as Deputy Head since 1999 onwards.
- (3) Previously responsible for up to 6 major LLM courses with development number new course proposals including 'Financial Markets and Exchanges Law' and 'International Financial Institutions Law.'
- (4) Supervisor and Co-supervisor of 14 PHD Candidates from 1995 onwards and Head Graduate and Research Programme 1998-2004 including production 'PHD Research Manual'. 6 successful PHD Candidates to date. Assisted with over 24 PHD successful candidates in total while at CCLS.
- (5) Development new outline Distance Learning Programme in banking and financial area and MSC course options in association with Scottish Institute of Bankers and Securities and Investments Institute, London as well as co-responsible development of Diploma Programmes in 'Banking and Financial Law' and 'Development Law' within CCLS.
- (6) Incorporated major international law reform work into course structure, material and teaching. Involved with 30 separate country projects in banking and financial area including with the IMF, World Bank, Asia Development Bank and EBRD (please see further below).
- (7) Significant publication record including two full single authored texts (2001 and 2006 with a third to follow late 2006), seven major co-authored or edited books (including two Encyclopaedia), 32 substantial chapter contributions, 10 major articles and 26 shorter pieces as well as 10 major research papers and 5 editorships (please see below).
- (8) Numerous Conference presentations, papers and contributions including in China (Beijing and Shanghai), Belgium, Germany, Hong Kong, Italy, Jamaica, Spain, Sweden, Portugal, Malta, South Africa, Thailand, Taiwan, Uruguay, Tokyo and the UK and US.
- (9) Preparation and production of new internal *IFL Handbook* and *IFL Operational Manual*. Development '*Digital Transfer Programme*' (including full webct course support) as well as design of new integrated '*Electronic Filing System*' and '*Publications Programme*,' '*Research Programme*,' '*Events Programme*' and '*Academic and Professional Links Programme*.'
- (10) Production 2 new major research proposals in areas of '**UK Financial Regulatory Reform – Single Regulators, Single Regulation and Single Markets**' and '**International Capital Standards - Basel II Implementation Law and Regulation**' which been have submitted to the Bank of England, the IMF and World Bank with separate applications to ESRC and AHRB in the UK to follow.

Appointments and Evidence of National and International Standing

- (1) **Called to the Bar of England and Wales** 13 October 2005 and **Admitted as Member of Honourable Society of Inner Temple**, 27 January 2005.
- (2) **Legal Consultant, Asia Development Bank and International Law Institute, Washington, DC, Banking and Financial Law Reform, People's Bank of China**, Summer 2003/Spring 2004.
- (3) **Legal Consultant, IMF, Washington DC, production revised 'Banking and Financial Institutions Law,' Nepal**, Joint Mission with World Bank, October 17-28 2005.
- (4) **Legal Consultant, Working Group on Japanese Financial Conglomerates**, University of Tokyo and Japanese Association of Bankers, 2005.
- (5) **Research Associate, International Financial Institutions Program, Harvard Law School, Harvard University, Cambridge**, 2002 to 2004.
- (6) **Affiliate Lecturer, University of Cambridge**, 2000-2001.
- (7) **External Examiner (Financial Law), University of Hong Kong**, 2000 to date.
- (8) **Visiting Scholar, University of Tokyo**, April 2003. (Invited Presentations Japanese **Financial Services Authority**, April 2003 and **Ministry of Finance and Bank of Japan**, December 2000;
- (9) **Chief Examiner, Financial Markets and Regulation, Securities Institute** (formerly **London Stock Exchange**), 2001 to date.
- (10) **Legal Consultant (Legal Department and Financial Sector Law Reform), International Monetary Fund**, Washington, D.C. 1999 to date (including 4 periods of 3 month's secondment during summer 2000, 2001 and 2002).
- (11) **Legal Consultant (Banking and Financial Services), Farrer & Co, Solicitors, London**, 1999 to date.
- (12) **Legal Consultant, International Association of Deposit Insurers (IADI) 'Unified Financial Regulation: An Independent Assessment of the UK FSA/FSCS Framework as a Reform Model'** (July 2004).
- (13) **Legal Consultant and Contributor, 'Deposit Protection Reform,' World Bank, 2002** (with original and revised contributions on United Kingdom and Turkey).
- (14) **Assistant Editor, Encyclopaedia of Banking Law**, Butterworths (5 Volumes, Loose-leaf) (Leading reference text). 1999 to date.
- (15) **Assistant Editor, Encyclopaedia of Financial Services Law**, Butterworths (7 Volumes, Loose-leaf) (Leading reference text).
- (16) **Executive Editor, Financial Regulator Report, Informa** (formerly **Financial Times**, London, 1999 to date. (Leading international commentary.)
- (17) **Assistant Editor, Banking Law Direct**, (Online and Hard Copy Financial Regulatory bulletin) Butterworths, London. (Leading monthly online (and hard copy) commentary.) 1999 to date.
- (18) **Professorial Fellow, Asian Institute for Banking and Finance Law, University of Hong Kong**, 2000 to date.
- (19) **Professorial Fellow, Institute of International Banking and Finance Law, SMU School of Law, Dallas, Texas**, 2000 to date.
- (20) **Senior Fellow in Banking Law at the London Institute of International Banking, Finance and Development Law**, 2000 to date.

- (21) **Chairman and Organiser, Special Regulatory Conference on ‘UK Financial Services and Markets’, Senate House, Mallet Street, London, 4 June 2004** with number distinguished speakers from UK Financial Services Authority, London and European Commission, Brussels.
- (22) **Principal Speaker Distinguished Shihata Conference, Escuela Libre De Derecho and the Asociación De Bancos De Méxco, ‘Current Issues in International, Regional and National Finance Law and Regulation’ 21 April 2005.**
- (23) **Principal Speaker Distinguished Shihata Symposium, The Faculty of Law, University of the West Indies and the Mona School of Business, University of the West Indies ‘Emerging Developments in the International Banking and Financial Law’ 3 May 2005.**
- (24-25) **Interviewed on ‘Current Developments in International Financial Law and Regulation’ Radio Programme - Real Business – Jamaica, 4 May 2005** hosted by Audrey Richards and Ralston Hyman; and interview by the leading newspaper, **Daily Gleaner, Jamaica** on the linkages created and possibilities for further and more beneficial international collaboration in financial law and practice.
- (26) **Conference Speaker, ‘European Financial Integration – Progress So Far’ Shanghai, China May 2005.**
- (27) **Principal Speaker, ‘Basel II and International Capital Standards’, University of Hong Kong, May 2005.**
- (28) **Guest (and Opening) Speaker Lecturer, IMF, World Bank and BIS Joint Vienna Institute,** (Official two week training seminar for national governmental, central bank and supervisory agency representatives), Vienna, March 2000, March 2001 and March 2004. (2003 event rescheduled due to War in Iraq.)
- (29) **Conference Speaker, ‘International Regulatory Developments – Current Issues and Trends’ Central Bank Trinidad, 3-5 May 2006.**
- (30) **Guest Lecturer, ‘Banking Law’, Duke University, Hong Kong, July 2006.**

Recent Major Publications

- (1) G A Walker, major treatise on **European Banking and Financial Law – Policy and Programme Construction (British Institute of International Comparative and Commercial Law (BIICCL), 2006)**. (416 pages.)
- (2) G A Walker, major treatise on **International Banking Regulation Law Policy and Practice, (Kluwer Law (2001))** (622 pages.) (Leading reference text including prescribed reading London and Cambridge University LLM).
- (3) G A Walker, major treatise on **UK Banking and Financial Law – Law, Policy and Practice (BICCL, forthcoming Autumn 2006)** to create three parallel major texts on International, European and UK Banking and Financial Law.

Recent Co-authored and Edited Texts

- (4) **Financial Services Law (Oxford University Press (OUP), 2006)** edited with Michael Blair QC former General Counsel Financial Services Authority London (FSA). (Introduction and 2 Chapters.)
- (5) **Financial Markets and Exchanges Law (Oxford University Press (OUP), 2006)** edited with Michael Blair QC former General Counsel FSA. (Introduction and 2 Chapters.)
- (6) William Blair QC and G A Walker (et al), **Banking and Financial Services Regulation (Butterworths , 3rd ed, 2002)** (Contributed 7 Chapters). (Leading UK reference text.)
- (7) Michael Blair QC and G A Walker (et al), **Financial Services and Markets Act 2000 (Blackstones, London)** (Contributed 6 Chapters). (Leading UK reference text.)

- (8) **Encyclopaedia of Banking Law (Butterworths, 5 Volumes Loose leaf)**, Assistant Editor. (Leading UK reference text.)
- (9) **Encyclopaedia of Financial Services Law (Butterworths, 7 Volumes Loose leaf)**, Assistant Editor. (Leading UK reference text.)
- (10) J J Norton and G A Walker (eds), **Banks: Fraud and Crime (Lloyds of London Press, 2nd ed, 2000)**.

Recent Chapter Contributions

- (1) Chapter contribution, **'Banking Regulation'** Chapter 1 in Roger Mansfield (et al), **Banking Law Guide (Butterworths, 2006)** (19,000 words.)
- (2) **'Chinese Financial Conglomerates'** in D Arner and A Chou et al (eds), **Chinese Financial Law (Kong Kong University, 2006)**. (25,916 words.)
- (3) **'Chinese Bank Insolvency'** in D Arner and A Chou et al (eds), **Chinese Financial Law (Kong Kong University, 2006)**. (17,793 words.)
- (4-5) **'Financial Services Authority'** (19,718 words) and **'Banks and Banking'** (31,909 words) Chapters 1 and 12 in G A Walker and Blair (eds), **Financial Services Law (Oxford University Press, 2006)**.
- (6-7) **'Stock Exchanges and Markets – Historical Development'** (12,000 words) and **'Markets and Exchanges Regulation'** (12,253 words) Chapters 1 and 2 in G A Walker and Blair (eds), **Financial Services Law (Oxford University Press, 2006)**.
- (8) **'European Financial Programme: Content, Structure and Completion'** in Andenas (ed), **European Free Movement of Services Law (Kluwer Law, 2004)**. (22,962 words.)
- (9) 'International Financial Stability - The Need for a Multilateral Rather than Unilateral Response' in Norton, 'Chapter contribution to Norton *et al*, *Multilateralism v. Unilateralism: Policy Choices in a Global Society* (forthcoming 2004) based on SMU Dedman Law School Conference, Spring 2002 (Dedicated to memory of A. Helton).
- (10-12) Chapter contributions on **'New International Financial Architecture,'** (18,778 words); **'A New Capital Adequacy Framework'** (13,876 words); and **'European Financial Reform'** (3,569 words) in **Annual Yearbook of International, Finance and Development**, Vols 2000, 2001 and 2002.
- (13) Chapter contribution on, **'United Kingdom Regulatory Reform: A New Beginning in Policy and Programme Construction'** in D Arner and Say Gou (eds), **Tawian Financial Reform (Kluwer Law, 2004)**. (18,633 words.)
- (14) **'Capital Adequacy,' Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf)**. Division A. (32,111 words.)
- (15) **'Money Laundering,' Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf)**. Division A. (32,111 words.)
- (16) **'Estoppel,' Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf)**. Division C. (6,522 words);
- (17) **'Certificates of Deposit,' Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf)**. Division D. (5,000 words.)
- (18) **'Banks and Credit Institutions, Encyclopaedia of Financial Services Law, Butterworths (5 Volume, Loose-leaf)**. Division C. (32,111 words plus tables.)
- (19) **'Building Societies, Encyclopaedia of Financial Services Law, Butterworths (5 Volume, Loose-leaf)**. Division D. (11,726 words plus tables.)
- (20-25) William Blair QC and G A Walker (et al), **Banking and Financial Services Regulation (Butterworths, 3rd ed, 2002)**, Chapters 1, 6, 15, 16, 17, 18, and 21 on 'Financial Services Authority

and Financial Services and Markets Act' (22,080 words), 'Banking Supervision' (18,247 words), ' (13,876 words), 'Capital Adequacy' (22,172 words), 'Financial Derivatives' (24,848 words), 'Listing and Regulated Exchanges and Clearing Houses' (17,600 words), 'Global Custody' (12,558 words) and 'International Supervisory Co-operation' (13,150 words).

- (26-32) Michael Blair QC and G A Walker (et al), **Financial Services and Markets Act 2000 (Blackstones, London)** Chapters 8, 10, 18, 19, 21 and 24 on 'Market Abuse' (8,196 words), 'Official Listing' (7,228 words), 'Regulated Investment Exchanges and Clearing Houses' (9,318 words), 'Collective Investment Schemes' (7,059 words), 'Lloyd's of London' (6,457 words) and 'Auditors' (6,149 words).

Recent Substantial Articles

- (1) **'European Financial Programme: Content, Structure and Completion'** in *European Law Review* (Kluwer Law, Summer 2004).
- (2) **'Global Capital Flows: The Recent Report from the Financial Stability Forum'** *Law and Business Review of the Americas* (Winter Spring 2001).
- (3-8) **Annual Regulatory Review** articles, **Financial Regulation International (Informa), 2000, 2001, 2002, 2003, 2004 and 2005 (also Assistant Editor)**. (Average 22-25,000 words.)

Recent Research work

- (1) **Legal Consultant, IMF, Washington DC, production revised 'Banking and Financial Institutions Law,' Nepal, Joint Mission with World Bank, October 17-28 2005.**
- (2) **Legal Consultant, IMF, Banking and central banking country review work including Albania, Argentina, Azerbaijan, Cape Verde, The Gambia, Grenada, Kiribati, the Kyrgyz Republic, Moldova, Nepal, Palau, Sri Lanka, Tuvalu (2002) and Barbados, China (deposit protection), Croatia, Sierra Leone, Macedonia, Mauritius, Mongolia, Tajikistan, Thailand, East Timor and Turkey (2001). Major Law Reform work in association with World Bank.**
- (3) **Legal Consultant, Asia Development Bank, Banking and Financial Law Reform, People's Bank of China, Summer 2003/Spring 2004** (preparation official reports on 'Financial Conglomerates' and 'Bank Insolvency' as well as presentation of reporting conference in Beijing in Spring 2004).
- (4) **Legal Consultant, Working Group on Japanese Financial Conglomerates, University of Tokyo and Japanese Association of Bankers, 2005.**
- (5) **Legal Consultant, International Association of Deposit Insurers (IADI) 'Unified Financial Regulation: An Independent Assessment of the UK FSA/FSCS Framework as a Reform Model'** (July 2004).
- (6) **Legal Consultant and Contributor, 'Deposit Protection Reform,' World Bank, 2002** (with original and revised contributions on United Kingdom and Turkey).
- (7) **Major guide on 'UK financial law: Guide to the Financial Services and Markets Act – A New Regulatory Model,' Farrer & Co, Solicitors, London, 2002**
- (8) **Major guide on 'Guide to the FSA Handbook of Rules and Guidance – Substantive Regulatory Integration,' Farrer & Co, Solicitors, London, 2002**
- (9) **Research Associate, International Financial Institutions, Program, Harvard Law School, Harvard University (above).**
- (10) **Visiting Scholar, University of Tokyo (above.)**

Recent Administrative Responsibilities

- (1) **Acting Head (International Financial Law Unit, CCLS), 2002/2004**

- (2) **Deputy Head (International Financial Law, Graduate and Research Programme, 1999 to date.**
 - (3) **LLM Coordinator and Principal Academic, Law of Emerging Markets, 2004 to date.**
 - (4) **LLM Coordinator and Principal Academic, Banking Law, 1998 to date.**
 - (5) **LLM Coordinator and Principal Academic, Securities Regulation, 2000 to 2004.**
 - (6) **LLM Coordinator and Principal Academic, Legal Aspects of International Finance, 2001 to date.**
 - (7) **Member CCLS Heads of Unit and Management Committee, 2002 to 2004.**
 - (8) **Member CCLS Advisory Board, 2002 to 2004.**
 - (9) **Member Law and Social Sciences Faculty Board, 2000 to date.**
 - (10) **Member of Graduate Studies Committee (Law), 1999 to date.**
 - (11) **College Investment Committee, 2006 onwards.**
 - (12) **CCLS and IFLU, Conference Co-organiser, major UK and International Conferences.**
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PERSONAL RECORD

Academic Qualifications

- (1) **Doctor of Philosophy Degree (DPhil (Oxon)), Oxford, 2003** Entitled 'European Banking and Financial Law: A Study in Policy and Programme Construction'.
 - (2) **Doctor of Philosophy (PhD), London, 1998** (Entitled 'International Banking Supervision: A Critical Examination of the Basle Committee Framework').
 - (3) **Master of Laws (LLM), London, 1986-1988** Distinctions in Corporate Securities Law and Intellectual Property.
 - (4) **Diploma in Advanced European Studies (DAES), Bruges, 1985-1986**, British Academy Scholarship. Top Mark International Tax Law and Business Transactions.
 - (5) **Diploma in Legal Practice (DipLP), University of Glasgow, 1982-1983.**
 - (6) **Bachelor of Laws with Honours, (LLB (Honours)), (Glasgow), 1978-1982** Upper Second in Senior Honours Class with Distinction in Law of Persons.
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PROFESSIONAL RECORD

Professional Qualifications

- (1) Called to the **Bar of England and Wales** 13 October 2005.
- (2) Admitted as **Member of Honourable Society of Inner Temple**, 27 January 2005.
- (3) Member of the **New York Bar**. Admitted February 1990.
- (4) Solicitor of the **Supreme Court of England and Wales**. Admitted June 1989.
- (5) Solicitor of the **Court of Session, Edinburgh**. Admitted 1985.

Professional Experience

Practising Solicitor 1983-1991 Seven years Commercial Law experience including two years of practice in Edinburgh and five years with leading City of London law firms dealing with all aspects of general Company Commercial, Banking and Finance law.

- Legal Consultant***
- (1) **International Monetary Fund (Legal Department and Financial Sector Law Reform)**, Washington, D.C. 1999 to date
 - (2) **Farrer & Co (Banking and Financial Services)**, Solicitors, London, 1999 to date and
 - (3) **Asia Development Bank**, 2003.

Barrister Called to the Bar of England and Wales 13 October 2005 and admitted as Member of Honourable Society of Inner Temple, 27 January 2005.

ACADEMIC RECORD

CCLS Positions

- (1) Reader, Centre for Commercial Law Studies, Queen Mary, University of London, 2003.
- (2) Acting Head, International Financial Law Unit, CCLS, October 2002 to 2004.
- (3) Deputy Head, International Financial Law Unit, 1999 to date.
- (4) Head Banking and Finance Law, 2002 to date.
- (5) Senior Lecturer in Banking Law, 2001 to 2003.
- (6) Lecturer in Banking Law, 1998 to date.

- (7) Teaching and Research Fellow in Banking Law, 1992 to 1998.

Other Academic Positions

- (1) Research Associate, International Financial Institutions Program, Harvard Law School, Harvard University, Cambridge, 2002 to 2004.
- (2) Affiliate Lecturer, University of Cambridge, 2000-2001.
- (3) Visiting Scholar, University of Tokyo, April 2003.
- (4) External Examiner (Financial Law), University of Hong Kong, 2000 to date.
- (5) Visiting Associate Professor of Law (International Banking and Finance Law), SMU School of Law, Dallas, Texas. Taught J.D./LL.M. Course, Summer and Fall Semester, 1998; Guest Lecturer (International Banking and Finance Law), SMU School of Law, Dallas, Texas, Spring, 1999.
- (6) Professorial Fellow, Asian Institute for Banking and Finance Law, University of Hong Kong, 2000 to date.
- (7) Professorial Fellow, Institute of International Banking and Finance Law, SMU School of Law, Dallas, Texas, 2000 to date.
- (8) Senior Fellow in Banking Law at the London Institute of International Banking, Finance and Development Law, 2000 to date.
- (9) Guest Lecturer, University of San Diego, London Summer Law Programme, June/July 1998 and 1999.
- (10) Chief Examiner, Financial Markets and Regulation, Securities Institute (formerly London Stock Exchange), 2001 to date.

TEACHING, EXAMINING AND COURSE DEVELOPMENT

Current and Previous College Teaching and Examining

- (1) Continuing involvement with all aspects IFLU-CCLS activities including restructuring, teaching, management and administration and examination of core LL.M. courses as part of University of London's inter-Collegiate LL.M Programme since 1992 including:

- (1) **Banking Law; (formerly International, European and UK Bank Regulation (Half Subject) and The Law of Bank Services (Half Subject));**
- (2) **Regulation of Financial Markets;**
- (3) **Legal Aspects of International Finance;**
- (4) **Securities Regulation;**
- (5) **International Trade Law;**
- (6) **European Monetary Union;**
- (7) **International Economic Law;**
- (8) **Emerging Markets.**

(First two classes previously between 90 and 140 students 2003/2003 with other classes averaging 50 to 70 students (apart from EMU).

- (2) **Supervisor and Co-supervisor** number of 14 PHD candidates from 1995 onwards and Head Graduate and Research Programme, 1998-2004. (6 successful PHD candidates to date.)

Course Development

- (1) Development number new course proposals including 'Financial Markets and Exchanges Law' and 'International Financial Institutions Law.'
- (2) Development new Distance Learning programme and MSC course options in association Scottish Institute of Bankers and Securities and Investments Institute, London.
- (3) Development new 'City Lunch,' 'Regulatory Workshops,' 'Special Legal Seminar' and 'Special Legal Conference' programmes, 'Regulatory Summer Programme' and 'Annual Regulatory Review' programme.

Continuing Education

Development new 'City Lunch,' 'Regulatory Workshops,' 'Special Legal Seminar' and 'Special Legal Conference' programmes, 'Regulatory Summer Programme' and 'Annual Regulatory Review' programme (above).

External Examining

External Examiner (Financial Law), University of Hong Kong, 2000 to date.

RESEARCH AND SCHOLARSHIP

Sole Authored Books

- (1) G A Walker, major treatise on International Banking Regulation Law Policy and Practice, (Kluwer Law (2001)) (622 pages.) (Leading reference text including prescribed reading London and Cambridge University LLM).
- (2) G A Walker, major treatise on European Banking and Financial Law – Policy and Programme Construction (British Institute of International Comparative and Commercial Law (BIICCL), 2006).
- (3) G A Walker, major treatise on UK Banking and Financial Law – Law, Policy and Practice (BICCL, forthcoming Autumn 2006) to create three parallel major texts on International, European and UK Banking and Financial Law.

Co-authored and Edited Books

- (4) Financial Services Law (Oxford University Press (OUP), 2006) edited with Michael Blair QC former General Counsel Financial Services Authority London (FSA). (Introduction and 2 Chapters.)
- (5) Financial Markets and Exchanges Law (Oxford University Press (OUP), 2006) edited Michael Blair QC former General Counsel FSA. (Introduction and 2 Chapters.)
- (6) William Blair QC and G A Walker (et al), Banking and Financial Services Regulation (Butterworths, 3rd ed, 2002) (Contributed 6 Chapters). (Leading UK reference text.)
- (7) Michael Blair QC (et al) and G A Walker, Financial Services and Markets Act 2000 (Blackstones, London) (Contributed 7 Chapters). (Leading UK reference text.)
- (8) Encyclopaedia of Banking Law (Butterworths, 5 Volumes Loose leaf), Assistant Editor. (Leading UK reference text.)
- (9) Encyclopaedia of Financial Services Law (Butterworths, 7 Volumes Loose leaf), Assistant Editor. (Leading UK reference text.)
- (10) JJ Norton and G A Walker (eds), Banks: Fraud and Crime (Lloyds of London Press, London 2000).

Chapter contributions

- (1) Chapter contribution, 'Banking Regulation' Chapter 1 in Roger Mansfield (et al), Banking Law Guide (Butterworths, 2006) (19,000 words.)
- (2) 'Chinese Financial Conglomerates' in D Arner and A Chou et al (eds), Chinese Financial Law (Kong Kong University, 2006). (25,916 words.)
- (3) 'Chinese Bank Insolvency' in D Arner and A Chou et al (eds), Chinese Financial Law (Kong Kong University, 2006). (17,793 words.)
- (4) 'Financial Services Authority' (19,718 words) Chapter 1 in G A Walker and Blair (eds), Financial Services Law (Oxford University Press, 2006).
- (5) 'Banks and Banking' (31,909 words) Chapter 12 in G A Walker and Blair (eds), Financial Services Law (Oxford University Press, 2006).
- (6) 'Stock Exchanges and Markets – Historical Development' (12,000 words) Chapter 1 in G A Walker and Blair (eds), Financial Services Law (Oxford University Press, 2006).
- (7) 'Markets and Exchanges Regulation' (12,253 words) Chapter 2 in G A Walker and Blair (eds), Financial Services Law (Oxford University Press, 2006).
- (8) 'European Financial Programme: Content, Structure and Completion' in Andenas (ed), European Free Movement of Services Law (Kluwer Law, 2004). (22,962 words.)
- (9) 'International Financial Stability - The Need for a Multilateral Rather than Unilateral Response' in Norton, ' Chapter contribution to Norton *et al*, *Multilateralism v. Unilateralism: Policy Choices in a Global Society* (forthcoming 2004) based on SMU Dedman Law School Conference, Spring 2002 (Dedicated to memory of A. Helton).
- (10) 'New International Financial Architecture,' (18,778 words), Annual Yearbook of International, Finance and Development, Vols 2000, 2001 and 2002.
- (11) 'A New Capital Adequacy Framework' (13,876 words), Annual Yearbook of International, Finance and Development, Vols 2000, 2001 and 2002.
- (12) 'European Financial Reform' (3,569 words), Annual Yearbook of International, Finance and Development, Vols 2000, 2001 and 2002.
- (13) Chapter contribution on, 'United Kingdom Regulatory Reform: A New Beginning in Policy and Programme Construction' in D Arner and Say Gou (eds), Tawian Financial Reform (Kluwer Law, 2004). (18,633 words.)
- (14) 'Capital Adequacy,' Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf). Division A. (32,111 words.)

- (15) 'Money Laundering,' Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf). Division A. (32,111 words.)
- (16) 'Estoppel', Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf). Division C. (6,522 words.);
- (17) 'Certificates of Deposit,' Encyclopaedia of Banking Law, Butterworths (5 Volume, Loose-leaf). Division D. (5,000 words.)
- (18) 'Banks and Credit Institutions, Encyclopaedia of Financial Services Law, Butterworths (5 Volume, Loose-leaf). Division C. (32,111 words plus tables.)
- (19) 'Building Societies, Encyclopaedia of Financial Services Law, Butterworths (5 Volume, Loose-leaf). Division D. (11,726 words plus tables.)
- (20-25) William Blair QC and G A Walker (et al), Banking and Financial Services Regulation (Butterworths , 3rd ed, 2002), Chapters 1, 6, 15, 16, 17, 18, and 21 on 'Financial Services Authority and Financial Services and Markets Act' (22,080 words), 'Banking Supervision' (18,247 words), ' ' (13,876 words), 'Capital Adequacy' (22,172 words), 'Financial Derivatives' (24,848 words) , 'Listing and Regulated Exchanges and Clearing Houses' (17,600 words), 'Global Custody' (12,558 words) and 'International Supervisory Co-operation' (13,150 words).
- (26-32) Michael Blair QC and G A Walker (et al), Financial Services and Markets Act 2000 (Blackstones, London) Chapters 8, 10, 18, 19, 21 and 24 on 'Market Abuse' (8,196 words), 'Official Listing ' (7,228 words), 'Regulated Investment Exchanges and Clearing Houses' (9,318 words), 'Collective Investment Schemes' (7,059 words), 'Lloyd's of London' (6,457 words) and 'Auditors' (6,149 words).

Learned journals

- (1) 'European Financial Programme: Content, Structure and Completion' in *European Law Review* (Kluwer Law, Summer 2004).
- (2) 'Global Capital Flows: The Recent Report from the Financial Stability Forum' *Law and Business Review of the Americas* (Winter Spring 2001).
- (3) Annual Regulatory Review articles, *Financial Regulation International* (Informa), 2000, 2001, 2002, 2003, 2004 and 2005 (also Assistant Editor). (Average 25,000 words.)
- (4) 'Bank Recovery - the Development of Judicial and Arbitral Redress under English Law'(Spring 2000),(28,431 words). (Faculty reviewed.) (Leading Canadian financial law journal - York University, Toronto).
- (5) 'United Kingdom Pensions Law Reform' *Brooklyn LJ* (Vol 64:3, Spring 1999), 71-932 (23,916 words). (Faculty reviewed).
- (6) 'Conglomerate Law and International Financial Market Supervision' *Boston University, Annual Review of Banking* (Vol 17: 287, 1998) 287-335 (17,859 words.) (Faculty reviewed.)
- (7) 'Latin American Money Laundering and the European Options' *NAFTA: Law and Business of the Americas* (Vol II, No 3, Summer 1996], pp 169-203 (17,050 words.) (Faculty reviewed.)
- (8) 'Consolidated Supervision,' *Butterworths Journal of International Banking and Financial Law* (Spring 1996), Feb/Mar 1996, 74-87 and 131- 139 (14,000 Words.) (Professionally reviewed.)
- (9) 'Financial Derivatives - Global Regulatory Developments,' *Journal of Business Law*, (1996) *JBL*, 66-93 (15,106 Words.) (Professionally reviewed.)
- (10) 'The Law of Financial Conglomerates - the Next Generation,' *The International Lawyer* (Vol 30, No 1, Spring 1996), 57-96 (17,863 words.) (Refereed.)

Other published articles

- (1) 'BCCI Closure but not Without Cost,' Informa, *Financial Regulation International* (February 2006) 1.
- (2) 'European Financial Services White Paper,' Informa, *Financial Regulation International* (March 2006), 1.
- (3) 'Annual Regulatory Review 2005,' Informa, *Financial Regulation International* (February and March 2006) 3 (10,000 words).
- (4) 'Annual Regulatory Review 2004,' Informa, *Financial Regulation International* (February 2006) 3.
- (5) 'Basel Third Capital Assessment (QIS 3)' in *International Financial Regulation* (August 2003), 1.
- (6) 'Regulation and Technology - International Regulatory Co-operation and Conflict' *Derivatives* 2000, February 2000.
- (7) 'Coping with Conglomerates - Joint Progress So Far' *The Financial Regulator*, August/September 1988.
- (8) 'The Community Response to the Collapse of BCCI' *The Journal of Financial Crime*, 1995, Vol 3, Number 1.

- (9) Article contribution on, 'Global Capital Flows: The Recent Report from the Financial Stability Forum' *Law and Business Review of the Americas* (Winter Spring 2001).
- (10-12) 'Annual Regulatory Review 2003,' Informa, *Financial Regulation International* (2000, 2001, 2003 and 2003).

Co-authored works

- (1) 'Appropriation of Payments' (C 621) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division C.
- (2) 'Combining Accounts: The Bank's Right of Set-off' (C 631) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division C.
- (3) 'The Bank's Lien' (C 641) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division C.
- (4) 'Life Policies' (E 2201) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division E Securities.
- (5) 'Stocks and Shares' (E 2251) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division E Securities.
- (6) 'Credit Balance' (E 241) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division E Securities.
- (7) 'Estoppel as Between Banker and Customer' (C 591) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division E Securities.
- (8) 'Negotiable Instruments' with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division D.
- (9) 'Cheques' with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division D.
- (10) 'Bailment' with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division D.
- (11) 'Bills of Exchanges' with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division F.
- (13) 'Promissory Notes' with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division F.
- (14) 'Related Instruments' with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division F.
- (15) 'Constructive trustee' (D 411) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division F.
- (16) 'Book Debts (Credit Balance)' with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division F.
- (17) 'Environmental Liability' (D 411) with William Blair QC, Encyclopaedia of Banking Law (Butteworths, 5 Volumes Loose leaf), Division F.

Research work papers

Research papers

- (1) Legal Consultant, IMF, Washington DC, production revised 'Banking and Financial Institutions Law,' Nepal, Joint Mission with World Bank, October 17-28 2005.
- (2) Legal Consultant, IMF, Banking and central banking country review work including Argentina, Azerbaijan, Cape Verde, The Gambia, Grenada, Kiribati, the Kyrgyz Republic, Nepal, Palau, Sri Lanka, Tuvalu (2002) and Barbados, China (deposit protection), Croatia, Sierra Leone, Macedonia, Mauritius, Mongolia, Tajikistan, Thailand, East Timor and Turkey (2001).
- (3) Legal Consultant, Asia Development Bank, Banking and Financial Law Reform, People's Bank of China, Summer 2003/Spring 2004 (preparation official reports on 'Financial Conglomerates' and 'Bank Insolvency' as well as presentation of reporting conference in Beijing in Spring 2004).
- (4) Legal Consultant, Working Group on Japanese Financial Conglomerates, University of Tokyo and Japanese Association of Bankers, 2005.
- (5) Legal Consultant, International Association of Deposit Insurers (IADI) 'Unified Financial Regulation: An Independent Assessment of the UK FSA/FSCS Framework as a Reform Model' (July 2004).
- (6) Legal Consultant and Contributor, 'Deposit Protection Reform,' World Bank, 2002 (with original and revised contributions on United Kingdom and Turkey).
- (7) Major guide on 'UK financial law: Guide to the Financial Services and Markets Act – A New Regulatory Model,' Farrer & Co, Solicitors, London, 2002
- (8) Major guide on 'Guide to the FSA Handbook of Rules and Guidance – Substantive Regulatory Integration,' Farrer & Co, Solicitors, London, 2002

- (9) 'US Financial Conglomerates' (Draft paper), Research Associate, International Financial Institutions, Program, Harvard Law School, Harvard University (above).
- (10) 'United Kingdom Regulatory Reform' (Draft paper), Visiting Scholar, University of Tokyo (above.)

Conference contributions

Numerous Conference presentations, papers and contributions including in China (Beijing and Shanghai), Belgium, Germany, Hong Kong, Italy, Jamaica, Spain, Sweden, Portugal, Malta, South Africa, Thailand, Taiwan, Uruguay, Tokyo and the UK and US.

Departmental Working papers

- (1) Legal Consultant, International Association of Deposit Insurers (IADI) 'Unified Financial Regulation: An Independent Assessment of the UK FSA/FSCS Framework as a Reform Model' (July 2004).
- (2) Legal Consultant and Contributor, 'Deposit Protection Reform,' World Bank, 2002 (with original and revised contributions on United Kingdom and Turkey).

Journal letters, notes, short papers or abstracts

Large number of other shorter pieces including:

- (1) 'So Close but So Far' *FT FRR*, May 1999, 1-3 (1,307 words);
- (2) 'A New Beginning' *FT FRR*, June 1999, 1-3 (1,108 words);
- (3) 'Accord at Last' *FT FRR*, July/August 1999, 1-3 (1,006 words);
- (4) 'A New Capital Adequacy Framework' *FT FRR*, July/Aug, 2-14 (7,099 words);
- (5) 'Bank Competition' *FT FRR*, September 1999, 3-5 (1,824 words);
- (6) 'Working Groups and Global Standards' *FT FRR*, Oct 1999, 1-3 (1,893 words);
- (7) 'The New Global Rule Book' *FT FRR*, Nov 1999, 1-3 (5,735 words);
- (8) 'New Dawn – New Risk' January 2000, 1-5 (2,568 words);
- (9) 'Hedge Funds' *FRR* May 2000, 3-12 (6,483 words);
- (10) 'Millennium Hype or Headache' *FRR*, Nov 2000, 3-10 (2,341 words);
- (11) 'Implementation Review' *FRR*, Nov 2000, 3-10 (5,648 words).
- (12) 'Global Capital Flows' *FRR*, Oct 2000 (6,401 words).

Bulletin Work Publication Farrer & Co, *Financial Services Bulletin* and *Financial Services Bulletin*, 1999-2006. **Over 22 Volumes** (Average length 22,000 – 25,000 words).

Current Editorships

- (1) Executive Editor, *Financial Regulator Report*, Financial Times, London. 1999 to 2000. (Additional contributions listed above).
- (2) Executive Editor, *Financial Regulation International*, Informa, London. 2000 to date.
- (3) Assistant Editor, *Banking Law Direct*, Butterworths, London. 2000 to date.
- (4) Assistant Editor, *Banking Law Series*, Lloyds of London Press. 1998 to date.
- (5) Assistant Editor, *International Banking, Finance and Economic and Development Law Series*, Kluwer Law. 1999 to date.
- (6) Assistant Editor, *Yearbook of Banking International Finance and Economic Law*, Kluwer Law. 1999 to date.

Professional advisory and consultative work

- (1) Legal Consultant, International Monetary Fund (Legal Department and Financial Sector Law Reform), Washington, D.C. 1999 to date.
- (2) Legal Consultant, Farrer & Co (Banking and Financial Services), Solicitors, London, 1999 to date.
- (3) Legal Consultant, Asia Development Bank, Banking and Financial Law Reform, People's Bank of China, Summer 2003/Spring 2004.
- (4) Legal Consultant, European Bank for Reconstruction Development, 'Eastern European Programme Review' (2003-2004) including Mission Visit Kyrgistan and Kazakhstan.
- (5) Legal Consultant, International Association of Deposit Insurers (IADI) 'Unified Financial Regulation: An Independent Assessment of the UK FSA/FSCS Framework as a Reform Model' (July 2004).
- (6) Legal Consultant and Contributor, 'Deposit Protection Reform,' World Bank, 2002 (with original and revised contributions on United Kingdom and Turkey).

National and international standing

- (1) Called to the Bar of England and Wales 13 October 2005 and Admitted as Member of Honourable Society of Inner Temple, 27 January 2005.
- (2) Legal Consultant, Asia Development Bank and International Law Institute, Washington, DC, Banking and Financial Law Reform, People's Bank of China, Summer 2003/Spring 2004.
- (3) Legal Consultant, IMF, Washington DC, production revised 'Banking and Financial Institutions Law,' Nepal, Joint Mission with World Bank, October 17-28 2005.
- (4) Legal Consultant, Working Group on Japanese Financial Conglomerates, University of Tokyo and Japanese Association of Bankers, 2005.
- (5) Research Associate, International Financial Institutions Program, Harvard Law School, Harvard University, Cambridge, 2002 to 2004.
- (6) Affiliate Lecturer, University of Cambridge, 2000-2001.
- (7) External Examiner (Financial Law), University of Hong Kong, 2000 to date.
- (8) Visiting Scholar, University of Tokyo, April 2003. (Invited Presentations Japanese Financial Services Authority, April 2003 and Ministry of Finance and Bank of Japan, December 2000;
- (9) Chief Examiner, Financial Markets and Regulation, Securities Institute (formerly London Stock Exchange), 2001 to date.
- (10) Legal Consultant (Legal Department and Financial Sector Law Reform), International Monetary Fund, Washington, D.C. 1999 to date (including 4 periods of 3 month's secondment during summer 2000, 2001 and 2002).
- (11) Legal Consultant (Banking and Financial Services), Farrer & Co, Solicitors, London, 1999 to date.
- (12) Legal Consultant, International Association of Deposit Insurers (IADI) 'Unified Financial Regulation: An Independent Assessment of the UK FSA/FSCS Framework as a Reform Model' (July 2004).
- (13) Legal Consultant and Contributor, 'Deposit Protection Reform,' World Bank, 2002 (with original and revised contributions on United Kingdom and Turkey).
- (14) Assistant Editor, Encyclopaedia of Banking Law, Butterworths (5 Volumes, Loose-leaf) (Leading reference text). 1999 to date.
- (15) Assistant Editor, Encyclopaedia of Financial Services Law, Butterworths (7 Volumes, Loose-leaf) (Leading reference text).
- (16) Executive Editor, Financial Regulator Report, Informa (formerly Financial Times, London, 1999 to date. (Leading international commentary.)
- (17) Assistant Editor, Banking Law Direct, (Online and Hard Copy Financial Regulatory bulletin) Butterworths, London. (Leading monthly online (and hard copy) commentary.) 1999 to date.
- (18) Professorial Fellow, Asian Institute for Banking and Finance Law, University of Hong Kong, 2000 to date.
- (19) Professorial Fellow, Institute of International Banking and Finance Law, SMU School of Law, Dallas, Texas, 2000 to date.
- (20) Senior Fellow in Banking Law at the London Institute of International Banking, Finance and Development Law, 2000 to date.
- (21) Chairman and Organiser, Special Regulatory Conference on 'UK Financial Services and Markets', Senate House, Mallet Street, London, 4 June 2004 with number distinguished speakers from UK Financial Services Authority, London and European Commission, Brussels.
- (22) Principal Speaker Distinguished Shihata Conference, Escuela Libre De Derecho and the Asociación De Bancos De México, 'Current Issues in International, Regional and National Finance Law and Regulation' 21 April 2005.
- (23) Principal Speaker Distinguished Shihata Symposium, The Faculty of Law, University of the West Indies and the Mona School of Business, University of the West Indies 'Emerging Developments in the International Banking and Financial Law' 3 May 2005.
- (24-25) Interviewed on 'Current Developments in International Financial Law and Regulation' Radio Programme - Real Business – Jamaica, 4 May 2005 hosted by Audrey Richards and Ralston Hyman; and interview by the leading newspaper, Daily Gleaner, Jamaica on the linkages created and possibilities for further and more beneficial international collaboration in financial law and practice.
- (26) Conference Speaker, 'European Financial Integration – Progress So Far' Shanghai, China May 2005.
- (27) Principal Speaker, 'Basel II and International Capital Standards', University of Hong Kong, May 2005.
- (28) Guest (and Opening) Speaker Lecturer, IMF, World Bank and BIS Joint Vienna Institute, (Official two week training seminar for national governmental, central bank and supervisory agency representatives), Vienna, March 2000 and March 2001. (2003 event rescheduled due to War in Iraq.)
- (29) Conference Speaker, 'International Regulatory Developments – Current Issues and Trends' Central Bank Trinidad, 3-5 May 2006.
- (30) Guest Lecturer, 'Banking Law', Duke University, Hong Kong, July 2006.

Supervision of research students and research staff

Supervisor and Co-supervisor number of 14 PhD candidates from 1995 onwards and Deputy Head Graduate and Research Programme, 1998. 6 successful PhD candidates to date.

Statement on research

Current research is focused on a number of key trends in banking and financial law at the UK, European and international law levels. I have produced 2 major research proposals in the areas of **‘UK Financial Regulatory Reform –Single Regulators, Single Regulation and Single Markets’** and **‘International Capital Standards - Basel II Implementation Law and Regulation’**. An application has been submitted to the Bank of England on capital implementation with a further application to be made to the World Bank and the IMF in early course. Separate applications will also be submitted to the ESRC and AHRB in the UK in connection with both proposals.

A number of difficult challenges also remain to be resolved with regard to the development assistance provided by wealthier nations for emerging and less developed economies. The Millennium Development Goals were produced in 2000 with a number of other papers being issued in 2005 – the Year of Development - although no attempt has yet been made to construct a new complete and coherent **‘International Development Agenda for the 21st Century.’** This has become an area of particular interest with which I am hoping to work further with the IMF, the World Bank and other regional development banks.

Continue involvement major international law reform work in banking and financial law area. Participated 30 separate country projects including with the IMF, World Bank, Asia Development Bank and EBRD (above).

Current research projects

- (1) Applied Bank of England, Norman Houlton George Fellowship on ‘International Capital Standards - Basel II Implementation Law and Regulation’ 2006-2007.
- (2) Applied World Bank and World Bank Institute research for papers on ‘Development Law and International Capital Implementation’ 2006-2007.
- (3) Applied Visiting Senior Fellowship, St John’s College, Oxford to work paper on ‘UK Single Regulator – A New Theoretical Model’ 2006-2007.

Earlier research funding applied for

- (4) Applied major ESRC sponsorship for funding special research project in ‘Global Capital Flows and Regulatory Impacts.’ Short Listed September 2004.
- (5) Applied major AHRB sponsorship on ‘Development and Global Relations’ 2004.

Current research projects

- (6) Major treatise on *UK Banking and Financial Law – Law, Policy and Practice* (BICCL, forthcoming Autumn 2006) to create three parallel major texts on International, European and UK Banking and Financial Law. Series already includes G A Walker, *European Banking and Financial Law – Policy and Programme Construction* (BIICCL, 2006) and G A Walker, *International Banking Regulation Law Policy and Practice* (Kluwer Law, 2001).
- (7) Commissioned to construct 5 volume ‘Financial Law Series’ with Oxford University Press (OUP) already including *Financial Services Law* (Oxford University Press, 2006) edited with Michael Blair QC former General Counsel Financial Services Authority London (FSA) and *Financial Markets and Exchanges Law* (Oxford University Press (OUP), 2006) (above).

Additional texts to include *International Finance Law* (with Professor D Arner, University Hong Kong), *International Financial Conglomerates* (with Professor Howell Jackson Harvard University) and *International Development Law* (with Professor Charles Charterjee, London)

ADMINISTRATION

Departmental responsibilities

- (1) Acting Head, International Financial Law Unit, CCLS, October 2002 to date.
- (2) Deputy Head, International Financial Law Unit, 1999 to date.
- (3) Head Banking and Finance Law, 2002 to date.
- (4) Member CCLS Heads of Unit Committee, 2002 to 2004.
- (5) Member CCLS Advisory Board, 2002 to 2004.

College activities

Committee memberships

- (1) Member Law and Social Sciences Faculty Board, 2000 to date.
- (2) Member of Graduate Studies Committee (Law), 1999 to date.
- (3) College Investment Committee, 2006 onwards.
- (4) CCLS and International Financial Law Unit, Conference Organiser and Co-organiser, major United Kingdom and International Conferences.

Community and Charitable Work

Co-Organiser and Co-Director of the International Financial Law Unit's *Legal Services in the Community Support Programme* which was designed to promote 'Legal services support for Community and charitable projects in the East End of London.' To operate through various specially structured *Project Support Programmes, Social Services and Welfare Programmes* and *Community Development Programmes*.

External bodies

- (1) Member Society of Public Law Lecturers.
- (2) Member European Association of Banking and Finance Teachers.
- (3) Member The Scottish European Lawyer's Group.
- (4) Member The Law Society's European Law Group.
- (5) Member Oxford and Cambridge Club, London

Statement on administration

Acting Head of International Finance Law Unit at CCLS for 2½ years between Easter 2002 and October 2004. This involved co-ordinating the activities of 4 full time members of staff (including Unit Administrative Assistant) as well as between 8 to 12 research assistants and 30 Visiting Fellows and Scholars.

I was able to generate income for the two years I was responsible for the Unit's budget in excess of £500,000. This also involved teaching in up to 5 principal LLM courses. This was made particularly difficult due to the absence of one colleague through illness, another through maternity leave and sabbatical and a third through a parallel sabbatical at LSE. This created significant additional teaching, management and administrative burdens.

I have also acted as Deputy Head since 1999. During this time, I have attempted to consolidate all of the Unit's core courses. This has, in particular, included the development of a 'Digital Transfer Programme' that involves converting all of the main material for each course into an electronic or digital format. This has mainly involved developing a number of detailed webct options which has required writing up large parts of the main courses. This has also involved transferring subscriptions from hard copy to electronic materials (including, in particular, *Butterworths Banking and Financial Law Direct*). Students are also regularly provided with internet link sheets that contain between 20-40 site links to core documents or more general sources of relevant course material.

As Acting Head, I was also responsible for preparation and production of new internal *IFL Operational Manual* and *IFL Handbook* as well as design of new integrated '*Electronic Filing System*' and '*Publications Programme, 'Research Programme, 'Events Programme*' and '*Academic and Professional Links Programme*.'

With the departure of two former full-time staff, I had to assume significant additional PHD supervisory responsibility. This has included either acting as primary or core supervisor for over 14 candidates with 6 having successfully completed already.

I was also co-responsible for the development and teaching of our Diploma Programmes in Banking and Financial Law and in Development Law.

I have also been working on development of new outline Distance Learning Programme in banking and financial area and MSC course options in association with Scottish Institute of Bankers and Securities and Investments Institute, London as well as new course proposals including 'Financial Markets and Exchanges Law' and 'International Financial Institutions Law.'
